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## Interstitial Lung Disease Progression after Genomic Usual Interstitial Pneumonia Testing

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### Abstract

A genomic classifier for usual interstitial pneumonia (gUIP) has been shown to predict histologic UIP with high specificity, increasing diagnostic confidence for idiopathic pulmonary fibrosis (IPF). Whether those with positive gUIP classification exhibit a progressive, IPF-like phenotype remains unknown.

A pooled, retrospective analysis of patients who underwent clinically indicated diagnostic bronchoscopy with gUIP testing at seven academic medical centers was performed. We assessed the association between gUIP classification and eighteen-month progression-free survival (PFS) using Cox proportional hazards regression. PFS was defined as the time from gUIP testing to

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death from any cause, lung transplant, 10% relative decline in forced vital capacity (FVC) or censoring at the time of last available FVC measure. Longitudinal change in FVC was then compared between gUIP classification groups using a joint regression model.

Of 238 consecutive patients who underwent gUIP testing, 192 had available follow-up data and were included in the analysis, including 104 with positive gUIP classification and 88 with negative classification. In multivariable analysis, positive gUIP classification was associated with reduced PFS (HR 1.58, 95% CI 0.86-2.92;  $p=0.14$ ), but this did not reach statistical significance. Mean annual change in FVC was  $-101.8\text{mL}$  (95% CI  $-142.7\text{mL}$ ,  $-60.9\text{mL}$ ;  $p<0.001$ ) for those with positive gUIP classification and  $-73.2\text{mL}$  (95% CI  $-115.2\text{mL}$ ,  $-31.1\text{mL}$ ;  $p<0.001$ ) for those with negative classification (difference  $28.7\text{mL}$ ; 95% CI  $-83.2\text{mL}$ ,  $25.9\text{mL}$ ;  $p=0.30$ ).

Genomic UIP classification was not associated with differential rates of PFS or longitudinal FVC decline in a multi-center ILD cohort undergoing bronchoscopy as part of the diagnostic evaluation.

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## Introduction

The Envisia<sup>®</sup> genomic classifier (Veracyte, South San Francisco, CA USA) is a commercially available, gene expression-based molecular diagnostic tool developed to predict histologic usual interstitial pneumonia (UIP) in patients undergoing transbronchial biopsy.(1, 2) With high specificity for histologic UIP,(1, 2) this tool has been shown to increase diagnostic confidence for idiopathic pulmonary fibrosis (IPF),(1-5) a fatal interstitial lung disease (ILD) without cure other than lung transplant.(6) As a surrogate for histologic UIP, genomic UIP (gUIP) testing may obviate the need for surgical biopsy in those without a confident IPF diagnosis. It remains unclear however, whether the phenotype identified by gUIP approximates that of IPF, characterized by progressive lung function decline and early death.(6)

Uncertainty around the phenotype identified by gUIP testing stems from the fact that several non-IPF interstitial lung diseases (ILDs) can result in radiologic and histologic UIP,(7-9) which has unclear overlap with gUIP. An accurate ILD diagnosis has important prognostic and treatment implications, as immunosuppressive agents may stabilize lung function in patients with autoimmune ILD(10) and chronic hypersensitivity pneumonitis,(11) but harm patients with IPF.(12) IPF is instead treated with anti-fibrotic therapy, as these agents slow lung function decline.(13, 14) Anti-fibrotic therapy also benefits those with progressive non-IPF ILD,(15, 16) but the ability to reliably predict a progressive phenotype remains elusive. Because gUIP testing is likely to influence therapeutic decision-making, it is critical to better understand the phenotype identified by this molecular diagnostic tool.

In this investigation, we conducted a pooled, retrospective analysis of patients who underwent clinically indicated diagnostic bronchoscopy with gUIP testing at seven academic institutions across the United States to determine whether gUIP classification informed clinical outcomes. We hypothesized that positive gUIP classification would be associated with a higher risk of categorical ILD progression and higher rate of longitudinal forced vital capacity decline. Secondary analysis of key subgroups according to radiologic pattern and diagnostic classification was also performed.

## Methods

### Study Population

This study was performed at the Cleveland Clinic, Medical College of Wisconsin, National Jewish Health, University of Arizona, University of California at Davis, University of California at Los Angeles, and Tulane University. A waiver of consent was provided by institutional review boards at each institution given the retrospective nature of the study. Consecutive ILD patients without a definite UIP pattern on high resolution computed tomography (HRCT) who underwent clinically indicated bronchoscopy with gUIP testing as part of the diagnostic evaluation from April 2018 to July 2021 were eligible for inclusion. Patients without baseline spirometry and those lost to follow-up were excluded.

Clinical data were extracted from the electronic medical record and included baseline demographics, HRCT pattern determined by a chest radiologist at each center according to Fleischner Society criteria(17), and pulmonary function testing (forced vital capacity (FVC) and diffusion capacity of the lung for carbon monoxide (DLCO)). Longitudinal data obtained from the medical record included serial lung function, immunosuppressant exposure (prednisone, azathioprine, mycophenolate mofetil, rituximab, cyclophosphamide, tacrolimus, leflunomide, tocilizumab) and anti-fibrotic exposure (nintedanib and pirfenidone). Vital status was determined through review of the medical record and telephone communication with referring providers, patients, and family members of patients.

### Statistical Analysis

Continuous variables are reported as means with standard deviation (SD) and compared using the Student's t-test given a normal distribution. Categorical variables are reported as counts with percentage and compared using a Chi-square test or Fischer's exact test, as appropriate. The primary endpoint assessed was progression-free survival (PFS), defined as the time from bronchoscopy to death from any cause, lung transplant, 10% relative FVC decline or censoring. Patients were censored at 18 months or the date of last available FVC if performed <18 months after bronchoscopy. PFS was compared between gUIP classification groups using mixed effects univariable and multivariable Cox proportional hazards regression. Both models included center as a random effect to control for center-level heterogeneity in outcomes and patient-level covariates that were collinear with center. (18, 19) The multivariable model also included age, sex and percent predicted FVC, percent predicted DLCO, anti-fibrotic treatment exposure, immunosuppressant treatment exposure and radiologic pattern on high-resolution computed tomography as fixed effects. The proportional hazards assumption was checked and confirmed for each model. A similar mixed effects multivariable logistic regression model (was used to assess the odds of positive gUIP classification among groups stratified by baseline HRCT and odds of IPF diagnosis after positive gUIP classification.

Annual change in FVC (relative and absolute) was then determined using a joint model, which includes a mixed effects submodel to estimate longitudinal change in FVC and a survival submodel to account for the effect of informative dropout (death or lung transplant)

on the repeated FVC measures.(20-22) Each submodel was adjusted for the same covariates included in the multivariable Cox model described above, along with covariates for time, gUIP classification and time-by-classification interaction term to assess the difference in FVC change between gUIP classification groups. Statistical significance was set at  $p < 0.05$ . All analyses were performed in Stata (StataCorp. 2018. Release 16. College Station, TX).

## Results

### Patient Characteristics

Two hundred and thirty-eight patients underwent bronchoscopy with gUIP testing (Figure 1). Among eligible patients, 46 were excluded due to missing baseline PFT ( $n=17$ ) or one-year progression status ( $n=29$ ). Of the 192 patients included in the final analysis, 104 (54%) were classified as positive for gUIP classification and 88 (46%) were classified as negative. Compared to those with negative gUIP classification, those with positive classification were older and had a higher percentage of males (Table 1). Baseline lung function was similar between groups. A higher proportion of those with positive gUIP classification were diagnosed with IPF and received anti-fibrotic therapy, while a higher proportion of those with negative gUIP classification were diagnosed with non-IPF ILD and received immunosuppressant therapy (Table 1). Among cases diagnosed with non-IPF ILD after gUIP testing, fibrotic hypersensitivity pneumonitis was most common, followed by unclassifiable ILD, connective tissue disease associated ILD, idiopathic non-specific interstitial pneumonia and fibrotic sarcoidosis.

When stratifying the cohort by baseline HRCT pattern, a positive gUIP classification was most common in those with a probable UIP pattern (80.3%,  $n=57/71$ ), followed by indeterminate (49.2%,  $n=30/61$ ) and alternate diagnosis patterns (28.3%,  $n=17/60$ ) (Figure 2). Compared to those with an HRCT pattern indeterminate for UIP, those with a probable UIP pattern had greater than four-fold higher odds of positive gUIP classification (OR 4.74; 95% CI 1.95-11.50;  $p=0.001$ ), while those with a pattern suggestive of an alternate diagnosis had greater than 2-fold lower odds of having a positive gUIP classification (OR 0.40; 95% CI 0.17-0.93;  $p=0.03$ ).

When assessing post-bronchoscopy ILD diagnosis, a positive gUIP classification was associated with >30-fold increased odds of an IPF diagnosis (OR 33.75; 95% CI 12.72-89.52;  $p < 0.001$ ), but this varied depending on baseline HRCT pattern (Figure 3). Positive gUIP classification was associated with a 7-fold increase in odds of an IPF diagnosis (OR 7.00, 95% CI 0.52-94.96;  $p=0.14$ ) in those with an alternate diagnosis pattern, but this did not reach statistical significance. Positive gUIP classification was associated with greater than 70-fold increase in odds of an IPF diagnosis among those with an indeterminate pattern (OR 72.16, 95% CI 5.65-922.23;  $p=0.001$ ) and 60-fold increased odds among those with a probable UIP pattern (OR 60.83, 95% CI 8.56-432.41;  $p < 0.001$ ).

### Survival Analysis

Over the 18-month follow-up period, PFS was similar between groups ( $p_{\text{logrank}} = 0.28$ ) (Figure 4). Positive gUIP classification was associated with nearly 30% increased risk of

ILD progression in univariable analysis (HR 1.29, 95% CI 0.81-2.07;  $p=0.29$ ) (Figure 4), but this did not reach statistical significance. After multivariable adjustment, the association between positive gUIP classification and ILD progression nearly doubled (HR 1.58, 9% CI 0.86-2.92;  $p=0.14$ ), but still did not cross the statistical significance threshold (Table 2). Inclusion of gUIP classification in a multivariable Cox regression model adjusted for age, sex, percent predicted FVC, percent predicted DLCO and IPF diagnosis did not significantly improve PFS prediction ( $C=0.685$  vs  $0.687$ ;  $p=0.16$ ). Radiologic and diagnostic subgroups were then assessed (Table 2). Positive gUIP classification was not associated with increased ILD progression risk in those with probable UIP and indeterminate patterns on HRCT but was associated with increased risk for those with an alternate diagnosis pattern on HRCT (OR 2.74, 95% CI 1.12-6.69;  $p=0.03$ ) (Table 2). Similar results were observed when stratifying by post-bronchoscopy diagnosis. Positive gUIP classification was not associated with increased ILD progression risk in those diagnosed with IPF, but was associated with increased progression risk in those diagnosed with a non-IPF ILD diagnosis (OR 2.51, 95% CI 1.16-5.45;  $p=0.02$ ) (Table 2).

### Longitudinal Change in FVC

The mean annual change in FVC following genomic UIP testing for all patients was  $-3.61\%$  (95% CI  $-4.77\%$ ,  $-2.45\%$ ;  $p<0.001$ ), which corresponded to an absolute change of  $-88.8\text{mL}$  (95% CI  $-119.1\text{mL}$ ,  $-56.5\text{mL}$ ;  $p<0.001$ ). Those with a negative gUIP classification experienced a mean annual change in FVC of  $-73.2\text{mL}$  (95% CI  $-115.2\text{mL}$ ,  $-31.1\text{mL}$ ;  $p<0.001$ ), while those with positive gUIP classification displayed a change of  $-101.8\text{mL}$  (95% CI  $-142.7\text{mL}$ ,  $-60.9\text{mL}$ ;  $p<0.001$ ), with a between-group difference of  $28.7\text{mL}$  (95% CI  $-83.2\text{mL}$ ,  $25.9\text{mL}$ ;  $p=0.30$ ). Subgroup analysis according to HRCT pattern was not performed as model convergence could not be achieved due to small sample size.

### Discussion

In this multi-center investigation, we showed that a positive gUIP classification was strongly associated with baseline HRCT pattern and subsequent IPF diagnosis, but did not predict differential eighteen-month progression-free survival or longitudinal change in FVC. In subgroup analysis, positive gUIP classification was associated with reduced PFS in those with an alternative diagnosis pattern on HRCT and those diagnosed with non-IPF ILD following gUIP testing, but these subgroups were small. Little difference in outcomes was observed among gUIP classification strata in those with probable UIP or indeterminate patterns on HRCT or those diagnosed with IPF. To our knowledge, these findings are the first to assess clinical outcomes as they relate to gUIP classification and suggest that gUIP testing does not predict a progressive ILD phenotype.

The Envisia® gUIP classifier is the first commercially available molecular diagnostic tool marketed to predict histologic UIP,(1-5) the hallmark feature of IPF on surgical lung biopsy.(6) By applying a machine learning algorithm to transcriptomic data generated from homogenized lung tissue acquired via transbronchial biopsy, this tool has been shown to predict histologic UIP with high specificity and positive predictive value, increasing diagnostic confidence for IPF.(1-5) Our data support this, as gUIP classification was strongly

associated with subsequent IPF diagnosis, especially among those with a probable UIP or indeterminate pattern on HRCT, increasing the odds of an IPF diagnosis by greater than 60-fold in each group.

While this tool was developed to obviate the need for surgical lung biopsy, it remains unclear whether the histologic UIP identified by gUIP testing is specific to IPF, as this pattern can be observed in other forms of fibrosing ILD, including chronic hypersensitivity pneumonitis,(23), asbestosis(24) and ILD due to connective tissue disease. (25-28) Discriminating between these conditions and IPF remains important given potential differences in survival and treatment approach.(8-10) Those with some forms of non-IPF ILD benefit from immunosuppressant therapy,(10, 11) while those with IPF are harmed by this approach.(12) Recent anti-fibrotic clinical trials suggest that this class of therapy provides benefit for those with progressive non-IPF ILD,(15, 29) but their utility in those without a clearly progressive phenotype is unclear. While IPF is an invariably progressive disease, non-IPF ILD is not and the ability to predict a progressive phenotype among these patients remains elusive. Most anti-fibrotic trials performed in non-IPF ILD have required objective evidence of ILD progression prior to trial enrollment and payors may require similar findings before approval of anti-fibrotic therapy for non-IPF ILD. As such, efforts to identify at-risk patients prior to ILD progression are critically needed.

Patients for this study were recruited while surgical lung biopsy was still recommended to diagnose IPF in patients with probable UIP on HRCT.(30) This practice is likely to change, as recently released IPF diagnostic guideline now allow patients with probable UIP to receive a diagnosis of IPF in the appropriate clinical context.(6) This change in diagnostic classification reflects studies showing strong correlation between histologic UIP and probable UIP pattern on HRCT, (17, 31-33) and similar rates of FVC decline between those with definite and probable UIP on HRCT.(34) Our data also support this approach, as 77% of those with probable UIP on HRCT had positive gUIP classification, leading nearly all to be diagnosed with IPF. These findings, along with the recent update to the IPF diagnostic guideline suggest little reason to pursue bronchoscopy and gUIP testing in those with a probable UIP pattern on HRCT. Outcomes also did not vary in those with an indeterminate pattern on HRCT. Whether this reflects a true absence of association, or an indication bias that led cohort enrichment with patients likely to progress irrespective of gUIP classification remains unclear.

While gUIP classification is unlikely to influence diagnosis in those with an alternate pattern on HRCT, our data suggest that a positive classification could potentially predict a progressive non-IPF ILD phenotype in such patients. These results must be viewed with caution however, as the number of patients with positive gUIP classification and alternate diagnosis pattern on HRCT was small (n=17). At present, bronchoscopy only contributes meaningful information in a minority of patients with ILD, namely those with hypersensitivity pneumonitis(35) and sarcoidosis(36). There exists little reason to perform bronchoscopy in those diagnosed with connective tissue-disease associated ILD, as results are unlikely to change management.

We defined ILD progression as death, lung transplant or 10% FVC decline in this study. This was necessary given the low mortality often observed with short-term studies. While death and lung transplant invariably suggest a progressive phenotype, categorical declines in FVC of 10% often precede these terminal events and serves as a reliable measure of ILD progression.(37, 38) We also assessed longitudinal change in FVC, which is used in clinical trials assessing drug efficacy, as this provides a more easily measured variable of clinical change compared to categorical events.(13-16) While this cohort represented the largest real-world cohort with gUIP testing reported to date, the direction of effect for gUIP classification suggest that the study was potentially power limited. However, nearly 800 patients would be required to observe a statistical difference between groups based on 12-month PFS of 0.76 in the gUIP negative group and 0.67 in the gUIP positive group.

This investigation has several limitations. First, the retrospective nature of the study resulted in variable follow-up times. Consecutive inclusion of patients from centers across the US is assumed to have resulted in a representative sample, but incomplete follow-up could have influenced results. Further, the relatively short follow-up time may have also impacted our findings, as differences in outcomes may have become more apparent over several years of follow-up. Next, variability in clinical practice across these centers may have also influenced our results. While all patients underwent bronchoscopy as part of the ILD diagnostic evaluation, the rationale for bronchoscopy and pre-test diagnosis likely varied across centers, especially as some centers received direct referrals for gUIP testing without antecedent evaluation through an ILD program. Variability in practice patterns also likely extended to interpretation of HRCT pattern, along with treatment decisions. Only 60% of patients with a positive gUIP classification, most of which were diagnosed with IPF, received anti-fibrotic therapy. The reason underpinning this remains unclear, but low anti-fibrotic adoption is a known problem in the United States.(39) We attempted to adjust for this by modeling center as a random effect, which controls for center-level outcome heterogeneity and patient-level covariates that correlated with center.(18, 19).

## Conclusion

While gUIP may serve as a reliable surrogate for histologic UIP, gUIP classification was not associated with differential outcomes or FVC decline. These findings suggest that patients referred for gUIP testing have a high pre-test probability of developing a progressive ILD, whether IPF or a non-IPF ILD. These findings did vary according to baseline HRCT pattern, leaving open the possibility that gUIP may serve as a prognostic biomarker in a subgroup of patients with ILD. But until such a group is identified, our results suggest that gUIP testing has diagnostic value, but little prognostic value.

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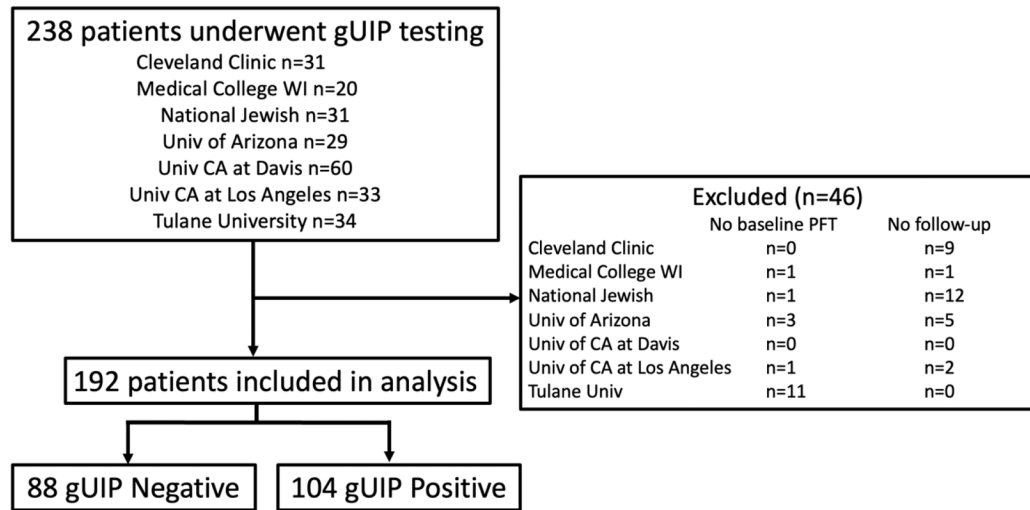
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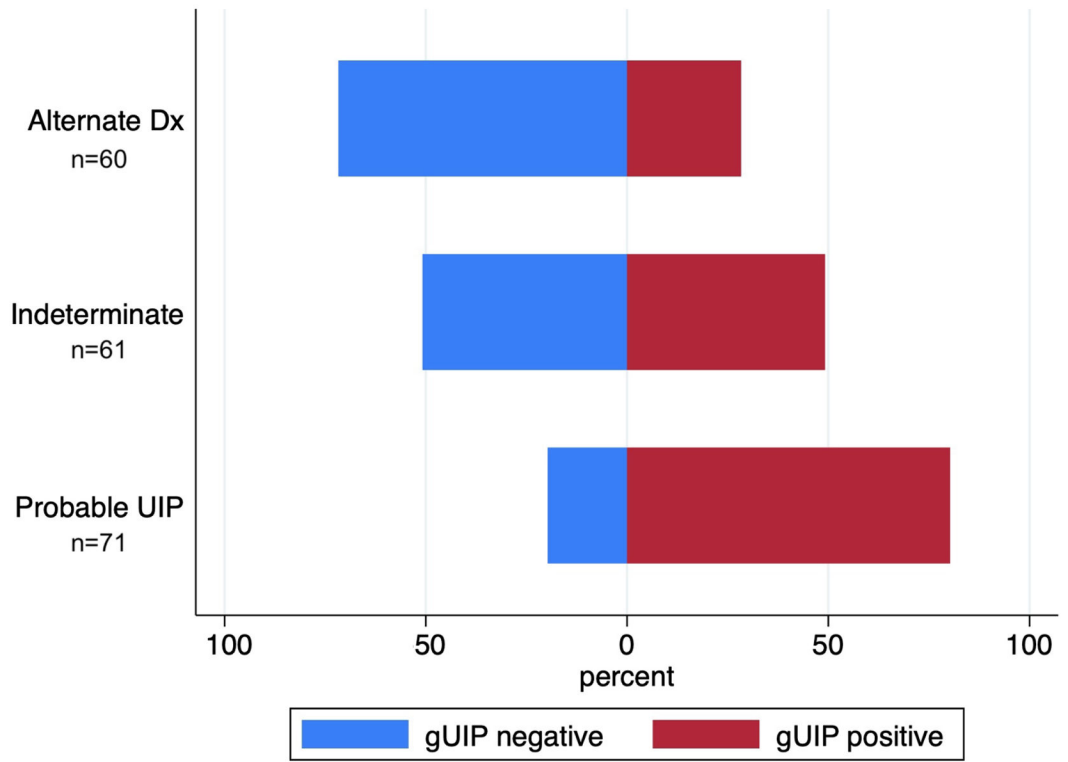


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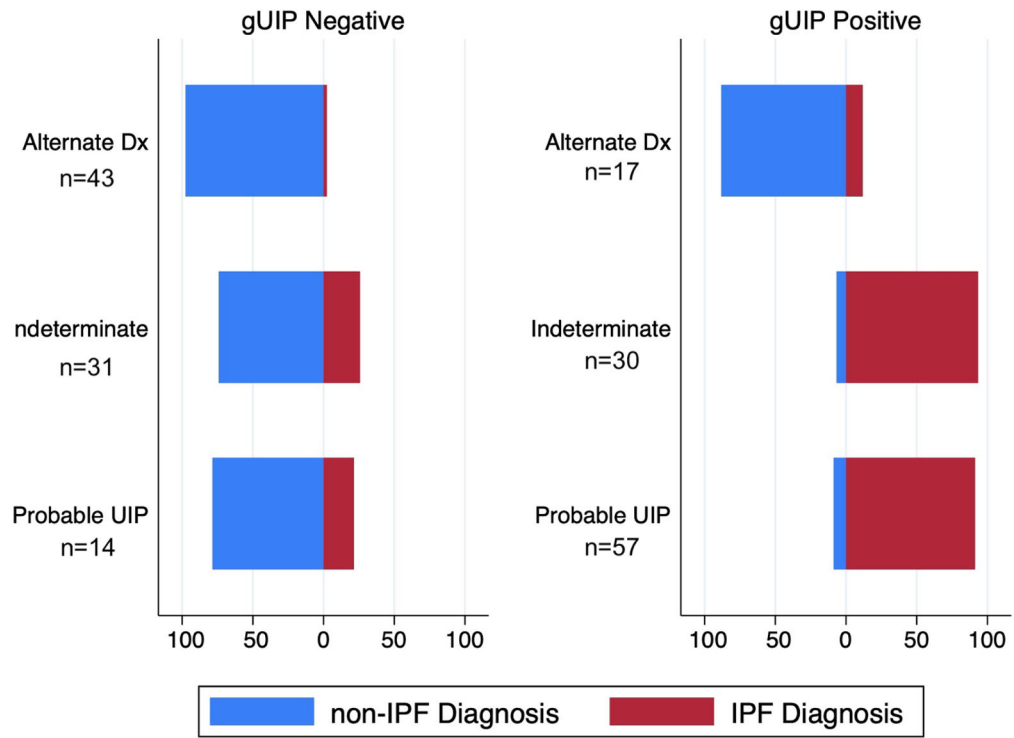
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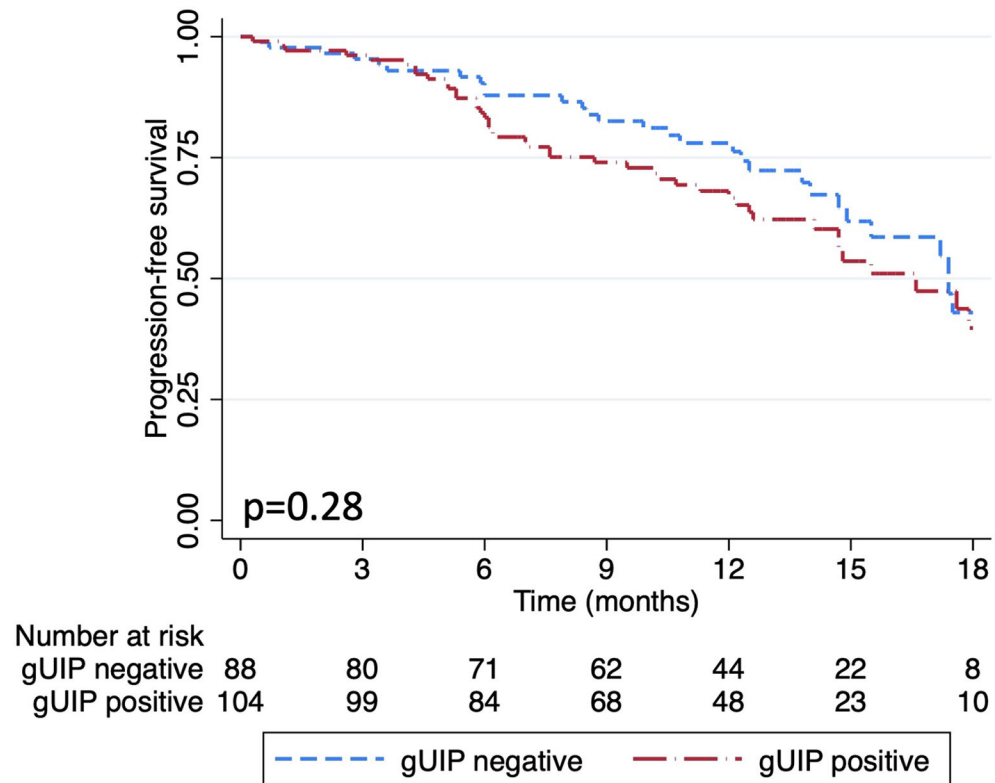
**Figure 1.**  
 Strobe diagram



**Figure 2.**  
Genomic UIP classification stratified by baseline HRCT pattern



**Figure 3.** ILD diagnosis following gUIP testing stratified by baseline HRCT pattern



**Figure 4.** Kaplan-Meier survival curve comparing progression-free survival between groups stratified by genomic UIP classification.

**Table 1.**

Patient characteristics, treatments, diagnoses and outcomes stratified by genomic UIP classification

Baseline characteristics	gUIP Negative (n=88)	gUIP Positive (n=104)	p-value
Age, mean (SD)	68.5 (9.6)	71.2 (7.2)	0.03
Male sex, n (%)	46 (52.3)	76 (73.1)	0.003
<b>Pulmonary Function</b>			
FVC % predicted, mean	72.2 (18.6)	73.6 (19.6)	0.61
DLCO % predicted, mean *	56.4 (19.2)	54.3 (18.2)	0.45
<b>Diagnosis after gUIP testing</b>			
Idiopathic Pulmonary Fibrosis	12 (13.6)	82 (78.9)	<0.001
Non-idiopathic pulmonary fibrosis	76 (86.4)	22 (21.1)	<0.001
Fibrotic Hypersensitivity pneumonitis	29 (33.0)	11 (10.6)	
Unclassifiable ILD	26 (29.5)	6 (4.8)	
Connective tissue disease associated ILD	8 (9.1)	4 (3.8)	
Idiopathic non-specific interstitial pneumonia	5 (5.7)	1 (1.0)	
Sarcoidosis	3 (3.4)	0 (0)	
Other ILDs	5 (5.7)	0 (0)	
<b>Treatment after gUIP testing</b>			
Anti-fibrotic	18 (20.5)	61 (58.7)	<0.001
Immunosuppressant **	46 (52.3)	11 (10.6)	<0.001
<b>Outcomes after gUIP testing</b>			
Death	10 (11.4)	8 (7.7)	0.39
Lung transplant	1 (1.1)	2 (1.9)	1.00
FVC decline 10% relative to baseline	18 (20.5)	33 (31.7)	0.08
Follow-up months, median (IQR)	11.9 (7.4-15.2)	11.5 (6.9-14.7)	0.84

\* missing data: gUIP negative n=84; gUIP positive n=103

\*\* mycophenolate mofetil, azathioprine, leflunomide, tacrolimus or prednisone 20mg daily

**Table 2.**

Risk of ILD progression associated with genomic UIP classification

Group	gUIP Negative (n=88)		gUIP Positive (n=104)		Progression risk <sup>*</sup>					
	stable	progressive	stable	progressive	Unadjusted			Adjusted		
					HR	95%CI	p	HR	95%CI	p
<b>All patients</b>	59	29	61	43	1.29	0.81-2.07	0.29	1.58	0.86-2.92	0.14
<b>HRCT Pattern</b>										
Alternate Diagnosis	31	12	6	11	2.52	1.11-5.71	0.03	2.74	1.12-6.69	0.03
Indeterminate	19	12	18	12	1.07	0.42-2.72	0.79	0.98	0.29-3.30	0.98
Probable UIP	9	5	37	20	1.13	0.40-3.18	0.82	1.6	0.39-6.51	0.51
<b>Diagnosis after gUIP classification</b>										
IPF	6	6	52	30	1.06	0.40-2.77	0.91	1.55	0.40-5.97	0.53
Non-IPF ILD	53	23	9	13	2.05	1.04-4.05	0.04	2.51	1.16-5.45	0.02

\* Estimated using a mixed effects Cox proportional hazards regression model with center included as a random effect. The adjusted model additionally included age, sex, percent predicted FVC, percent predicted DLCO, anti-fibrotic exposure, immunosuppressant exposure and high-resolution computed tomography pattern as fixed effects.